1 2 3 4 5 6	GILBERT R. SEROTA (No. 75305) Email: gserota@howardrice.com DIANA C. CAMPBELL MILLER (No. 252055) Email: dcampbell@howardrice.com HOWARD RICE NEMEROVSKI CANADY FALK & RABKIN A Professional Corporation Three Embarcadero Center, 7th Floor San Francisco, California 94111-4024 Telephone: 415/434-1600 Facsimile: 415/217-5910 Attorneys for Defendants		
8	JOSEPH E. BARATTA AND TBIG FINANCIAL SERVICES, INC.		
9	UNITED STATES DISTRICT COURT		
10	NORTHERN DISTRICT OF CALIFORNIA		
11 12	SAN JOSE DIVISION		
	SAN JOSE I	DIVISION	
howard 13	ANOLEST A FETTA DE	G N 5 07 04420 TW	
EMERÖVŠKI CANADY 14 FALK 14 & RABKIN	VIOLETTA ETTARE,	Case No. 5:07-cv-04429-JW	
S RABRIN MARINATORIO 15	Plaintiff,	ADR CERTIFICATION BY DEFENDANTS JOSEPH E. BARATTA	
16	v.	AND TBIG FINANCIAL SERVICES, INC. AND COUNSEL	
17	JOSEPH E. BARATTA, an individual, TBIG FINANCIAL SERVICES, INC., form of		
18	business unknown, WACHOVIA SECURITIES, LLC, a Delaware Limited		
19	Liability Company, MARK WIELAND, and individual, and DOES 1-25,		
20	Defendants.		
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27	27		
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	ADR CERTIFICATION BY PARTIES AND COUNSEL 07-04429 I		

1		Pursuant to Civil L.R. 1	6-8(b) and ADR L.R. 3-5 (b), each of the undersigned certifies	
	2	that he or she has:		
3 4 5		(1) Read the handbook entitled "Dispute Resolution Procedures in the Northern		
		District of California" on the Court's ADR Internet site www.adr.cand.uscourts.gov;		
		(2) Discussed the available dispute resolution options provided by the Court and private		
6 7 8 9 10 11 12 HCAVARD 13 RICE FAIK FAIK 14 FAIK 15 16 17 18 19 20 21	6	entities; and		
	7	(3) Considered whether this case might benefit from any of the available dispute		
	8	resolution options.		
	9			
	10	DATED M. 1 12 2000	By: Joseph Baratta	
	11	DATED: March <u>13</u> , 2008	JOSEPH BARATTA	
	12		On behalf of himself and on behalf of Defendant	
	13		TBIG FINANCIAL SERVICES, INC.	
	14			
	15	DATED: March 13, 2008	By: Dane Compbell	
	16	DATED. Maich, 2008	DIANA CAMPBELL MILLER	
	17		Attorneys for Defendants JOSEPH E. BARATTA AND TBIG FINANCIAL SERVICES, INC.	
	18		AND IDIO PINANCIAL SERVICES, INC.	
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			ADR CERTIFICATION BY PARTIES AND COUNSEL 07-04429 JW	